

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Uwe FÖRSTER et al.

Title: CONDENSER

Appl. No.: Unassigned

Filing Date: 09/23/2004

Examiner: Unassigned

Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated August 5, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the

present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

### **RELEVANCE OF EACH DOCUMENT**

The relevance of foreign-language documents A6-A8 and A17 is described in the present specification.

Documents A5, A6 and A9-A17 were cited in the International Search Report in Applicants' International Application PCT/EP03/03043. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Document A2 claims priority based on document A7.

Document A3 claims priority based on document A13.

Document A4 claims priority based on document A17.

English translations of the foreign-language documents are not readily available. However, English language abstracts are provided herewith. Consequently, the foreign language documents have been submitted in compliance with the PTO requirements and,

therefore, the document should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

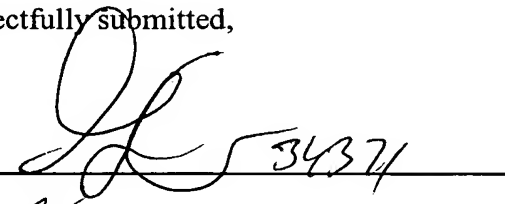
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date September 18, 2004

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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>	Unassigned
Date Submitted: September 23, 2004				<b>Filing Date</b>	09/23/2004
(use as many sheets as necessary)				<b>First Named Inventor</b>	Uwe FÖRSTER
				<b>Group Art Unit</b>	Unassigned
				<b>Examiner Name</b>	Unassigned
				<b>Attorney Docket Number</b>	016906-0338
<b>Sheet</b>	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	6,446,714	B1	KASPAR ET AL.	09-10-2002	
	A2	5,537,839		BURK ET AL.	07-23-1996	
	A3	6,446,714	B1	KASPAR ET AL.	09-10-2002	
	A4	5,992,174		MITTELSTRASS	11-30-1999	
	A5	5,666,791		BURK	09-16-1997	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A6	EP	1 079 186	A1	DELPHI TECHNOLOGIES, INC.	02-28-2001		
	A7	DE	42 38 853	A1	BEHR GMBH & CO.	05-19-1994		Abst.
	A8	DE	199 43 422	A1	BEIERSDORF AG	03-15-2001		Abst.
	A9	EP	1 132 695	A1	SKG ITALIANA SPA	09-12-2001		
	A10	JP	2001-41612	A	CALSONIC KANSEI CORP.	02-16-2001		Abst.
	A11	EP	1 202 007	A1	SKG ITALIANA SPA	05-02-2002		
	A12	EP	1 319 908	A1	SANDEN CORP.	06-18-2003		
	A13	DE	198 48 744	A1	BEHR GMBH & CO.	04-27-2000		Abst.
	A14	EP	0 669 506	A1	BEHR GMBH & CO.	08-30-1995		Abst.
	A15	EP	1 104 879	A1	VISTEON GLOBAL TECHNOLOGIES, INC.	06-06-2001		Abst.
	A16	JP	2001-304725	A	SHOWA DENKO KK	10-31-2001		Abst.
	A17	DE	197 12 714	A1	BEHR GMBH & CO.	10-01-1998		Abst.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

<b>Examiner Signature</b>	<b>Date Considered</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.